# United States Bankruptcy Court

### Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors,

Standard Chartered Bank (Hong Kong) Limited

Case No. <u>08-13555 (JMP)</u> (Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

| Standard Chartered Bank (Hong Kong) Limited  | LEUNG TONG CHING YEE REGINA  |
|--|--|
| Name of Transferee   | Name of Transferor   |
| Name and Address where notices to transferee<br>should be sent:<br>21/F, Standard Chartered Tower,<br>388 Kwun Tong Road, Hong Kong  | Court Claim # (if known):48277<br>Amount of Claim: USD 256,927<br>Date Claim Filed: 27 OCT, 2009 |
| Phone: WM.Invest@sc.com  | Phone: N/A   |
| Last Four Digits of Acct #: 8881   | Last Four Digits of Acct. #: 5600  |
| Name and Address where transferee payments should be sent (if different from above):   |  |
| Phone:   |  |
| Last Four Digits of Acct #:  |  |
| I declare under penalty of perjury that the information to the best of my knowledge and belief WEALTH MANAGEMENT CBHK  Transferee/Transferee's Agent Transferee/Transferee's Agent Transferee/Transferee's Agent Transferee/Transferee's Agent Transferee/Tra |  |
|  |  |

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| Standard Chartered Bank (Hong Kong) Limited  | YUEN CHEUNG MING   |
|--|--|
| Name of Transferee   | Name of Transferor   |
| Name and Address where notices to transferee should be sent: 21/F, Standard Chartered Tower, 388 Kwun Tong Road, Hong Kong   | Court Claim # (if known): 48143<br>Amount of Claim: USD 64,232<br>Date Claim Filed: 13 OCT, 2009 |
| Phone: WM.Invest@sc.com  | Phone: N/A   |
| Last Four Digits of Acct #: 8881   | Last Four Digits of Acct. #: 5843  |
| should be sent (if different from above):  Phone:  |  |
| Last Four Digits of Acct #:  |  |
| I declare under penalty of perjury that the information p to the best of my knowledge and belief  WEALTH  MANAGEMENT  CBHK  WEALTH  WEALTH  CBHK  WEALTH  WEALTH  WEALTH  WEALTH  WEALTH | rovided in this notice is true and correct  Date: (0 Apr 2012                                    |
| Transferee Transferee's Cent (香港) 有限   | <del></del>  |

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| Standard Chartered Bank (Hong Kong) Limited  | SUN, HONGLI   |
|--|---|
| Name of Transferee   | Name of Transferor  |
| Name and Address where notices to transferee should be sent:<br>21/F, Standard Chartered Tower,<br>388 Kwun Tong Road, Hong Kong | Court Claim # (if known):39702<br>Amount of Claim: USD 64,232<br>Date Claim Filed: 13 OCT, 2009 |
| Phone: WM.Invest@sc.com  | Phone: N/A  |
| Last Four Digits of Acet #: 8881   | Last Four Digits of Acct. #: 0945   |
| Name and Address where transferee payments should be sent (if different from above):   |   |
| Phone:   |   |
| Last Four Digits of Acet #:  |   |
| I declare under penalty of perjury that the information proto the best of my knowledge and belief                                |   |
| By: CBHK *   | Date: (0 Apr 2012   |
| Transferee/Transferee's (金利(本事)有象位   |   |

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### Southern District Of New York

In re <u>Lehman Brothers Holdings Inc. et al., Debtors</u>, Case No. <u>08-13555 (JMP) (Jointly Administered)</u>

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LAM LAI YING

Standard Chartered Bank (Hong Kong) Limited

| Name of Transferee   | Name of Transferor  |
|--|---|
| Name and Address where notices to transferee should be sent: 21/F, Standard Chartered Tower, 388 Kwun Tong Road, Hong Kong                                   | Court Claim # (if known):37784<br>Amount of Claim: USD 64,232<br>Date Claim Filed: 13 OCT, 2009 |
| Phone: WM.Invest@sc.com  Last Four Digits of Acct #: 8881  | Phone: N/A Last Four Digits of Acct. #: 8554  |
| Name and Address where transferee payments should be sent (if different from above):   |   |
| Phone:   |   |
| Last Four Digits of Acct #:  |   |
| I declare under penalty of perjury that the information to the best of my knowledge and belief the best of my knowledge and belief the best of my knowledge. | on provided in this notice is true and correct  |
| By: WEALTH MANAGEMENT CBHK   | Date:(0 Apr 2012  |
| Transferee/Transferee's Agent 音形有限   | ·   |

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### Southern District Of New York

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| Standard Chartered Bank (Hong Kong) Limited  | LEUNG KWOK KEE  |
|--|---|
| Name of Transferee   | Name of Transferor  |
| Name and Address where notices to transferee should be sent:<br>21/F, Standard Chartered Tower,<br>388 Kwun Tong Road, Hong Kong | Court Claim # (if known):38582<br>Amount of Claim:USD 65,000<br>Date Claim Filed:13 OCT, 2009 |
| Phone: WM.Invest@sc.com  | Phone: N/A  |
| Last Four Digits of Acct #: 8881   | Last Four Digits of Acct. #: 8754   |
| Name and Address where transferee payments should be sent (if different from above):   | ·   |
| Phone:   |   |
| Last Four Digits of Acct #:  |   |
| I declare under penalty of perjury that the information proto the best of my knowledge and belief  WEALTH  WEALTH  CBHK  CBHK    | Date: 10 Apr 2012   |
| Transferee/Transferee's (文字) 有限公司  | ,   |

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| Standard Chartered Bank (Hong Kong) Limited  | KWAN ANNIE   |
|--|--|
| Name of Transferee   | Name of Transferor   |
| Name and Address where notices to transferee should be sent: 21/F, Standard Chartered Tower, 388 Kwun Tong Road, Hong Kong   | Court Claim # (if known): 38836<br>Amount of Claim: USD 64,232<br>Date Claim Filed: 13 OCT, 2009 |
| Phone: WM.Invest@sc.com Last Four Digits of Acct #: 8881   | Phone: N/A Last Four Digits of Acct. #: 9381   |
| Name and Address where transferee payments should be sent (if different from above):   |  |
| Phone: Last Four Digits of Acct #:   |  |
| I declare under penalty of perjury that the information to the best of my knowledge and belief   | provided in this notice is true and correct  |
| By: WEALTH MANAGEMENT ESTABLE CONTROL OF THE PROPERTY OF THE P | Date: 10 Apr 2012  |

# United States Bankruptcy Court

### Southern District Of New York

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| Standard Chartered Bank (Hong Kong) Limited   | CHIU, YUEN MUI (ANTONIA)          |
|---|-----------------------------------|
| Name of Transferee  | Name of Transferor                |
| Name and Address where notices to transferee  | Court Claim # (if known): 38786   |
| should be sent:   | Amount of Claim: <u>USD64,232</u> |
| 21/F, Standard Chartered Tower,   | Date Claim Filed: 13 Oct., 2009   |
| 388 Kwun Tong Road, Hong Kong   |                                   |
| Phone: WM.Invest@sc.com   | Phone: N/A                        |
| Last Four Digits of Acct #: 8881  | Last Four Digits of Acct. #: 1236 |
| Name and Address where transferee payments should be sent (if different from above):                                      |                                   |
| Phone:  |                                   |
| Last Four Digits of Acet #:   |                                   |
| I declare under penalty of perjury that the information proto the best of my knowledge and best of WEALTH WANAGEMENT CBHK |                                   |
| By: Transfer (T. 6)   | Date: 10 Apr 2012                 |
| Transferee/Transferee's Agent   | •                                 |

# United States Bankruptcy Court

### Southern District Of New York

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| Standard Chartered Bank (Hong Kong) Limited   | CHU CHUN FA                                    |
|---|--|
| Name of Transferee  | Name of Transferor                             |
| Name and Address where notices to transferee  | Court Claim # (if known): 39613                |
| should be sent:   | Amount of Claim:USD100,000                     |
| 21/F, Standard Chartered Tower,   | Date Claim Filed: 13 Oct , 2009                |
| 388 Kwun Tong Road, Hong Kong   |  |
| Phone: WM.Invest@sc.com   | Phone: N/A                                     |
| Last Four Digits of Acct #: 8881  | Last Four Digits of Acet. #:6053               |
| DI.   |  |
| Phone:  |  |
| Last Four Digits of Acct #:   |  |
| I declare under penalty of perjury that the informatio  | on provided in this notice is true and correct |
| I declare under penalty of perjury the the information to the best of my knowledge and well wealth WEALTH |  |
| By: Transferee/Transferee's Agent   | Date: (0 Apr 2012                              |

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| Standard Chartered Bank (Hong Kong) Limited Name of Transferee   | ΓΟ TIN HOI RICHARD / KWOK PUI YIN BARBARA<br>Name of Transferor                                  |
|--|--|
| Name and Address where notices to transfered<br>should be sent:<br>21/F, Standard Chartered Tower,<br>388 Kwun Tong Road, Hong Kong            | Court Claim # (if known): 38683<br>Amount of Claim: USD64,232<br>Date Claim Filed: 13 Oct , 2009 |
| Phone: WM.Invest@sc.com Last Four Digits of Acct #: 8881  Name and Address where transferee payments should be sent (if different from above): | Phone: N/A Last Four Digits of Acct. #: 9239   |
| to the best of my knowledge and believed WEA   | emation provided in this notice is true and correct  |

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| Standard Chartered Bank (Hong Kong) Limited   | DU, LIHUA   |
|---|---|
| Name of Transferee  | Name of Transferor  |
| Name and Address where notices to transferee<br>should be sent:<br>21/F, Standard Chartered Tower,<br>388 Kwun Tong Road, Hong Kong | Court Claim # (if known): <u>39678</u><br>Amount of Claim: <u>USD 64,232</u><br>Date Claim Filed: <u>13 OCT</u> , <u>2009</u> |
| Phone: WM.Invest@sc.com Last Four Digits of Acct #: 8881  | Phone: N/A Last Four Digits of Acct. #: 8106  |
| Name and Address where transferee payments should be sent (if different from above):  |   |
| Phone: Last Four Digits of Acct #:  |   |
| I declare under penalty of perjury that the information to the best of my knowledge and belief.  WEALTH MANAGEMEN CBHK              |   |
| Transferee/Transferee's Agen 新行(香港)有限   |   |